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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/737,124	12/17/2003	Young-Doug Kim	8947-000074/US	5936	
	7590 03/30/2007 CKEY & PIERCE, P.L.C.		EXAM	IINER	
P.O. BOX 8910			DANG, KHANH		
RESTON, VA 20195			ART UNIT	PAPER NUMBER	
			2111		
			MAIL DATE	DELIVERY MODE	
			03/30/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
Interview Summary	10/737,124	KIM ET AL.				
•	Examiner	Art Unit				
	Khanh Dang	2111				
All participants (applicant, applicant's representative, PTO	personnel):					
(1) Khanh Dang.	(3)	į				
(2) <u>John Castellano (Atty)</u> .	(4)					
Date of Interview: 22 March 2007.						
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2	2)  applicant's representative	e]				
Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No. If Yes, brief description:						
Claim(s) discussed: 1.						
Identification of prior art discussed: <u>Kenny</u> .						
Agreement with respect to the claims f) $\boxtimes$ was reached. g) $\square$ was not reached. h) $\boxtimes$ N/A.						
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: <u>See Continuation Sheet</u> .						
(A fuller description, if necessary, and a copy of the amend allowable, if available, must be attached. Also, where no coallowable is available, a summary thereof must be attached.	opy of the amendments that v	reed would render the claims yould render the claims				
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE A INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW DATE, OR THE SUBSTANCE OF THE INTERVIEW OF THE INTERVIEW OF THE SUBSTANCE OF T	last Office action has already OF ONE MONTH OR THIRTY ERVIEW SUMMARY FORM.	been filed, APPLICANT IS / DAYS FROM THIS WHICHEVER IS LATER. TO				
	/enen	Dros				
		nh Dang y Examiner				
Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.	Examiner's sign	ature, if required				

## **Summary of Record of Interview Requirements**

### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

# Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Regarding the 112, 1st Rejection, the Examiner agreed with Mr. Castellano that the term "at the same time (claim 1) has adequate support from Fig. 6 and [0030]. However, in claim 1, only one "pseudo-grant signal" is claimed whereas in Fig. 6 and [0030], different pseudo-grant signals (HGRANT1 and HGRANT2) are generated. With regard to the 101 Rejection, the Examiner maintained his position that the term "sofware" implies the use of software instructions. Howver, it was agreed that "instructions" could be properly claimed without violating the 101 as long as the originally filed specification could provide support. Further, it was also agreed that by dropping the word "software" in claims 25 and 33, the 101 Rejection would be overcome. With regrad to the 102 Rejection, the Examiner maintained his position that claim 1, as broadly drafted, was anticipated by Kenny. Mr. Castellano agreed to file an RCE to further amend claim 1, and similar claims to clearly define the invention over Kenny. Specifically, Mr. suggested that he would add the word "beginning" before "at the same time." The Examiner also suggested that after "at the same time," — prior to arbitration — might be added to further define the pseudo-grant signal.

N ... K.

PTOL-413A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Tredomark Office: U.S. DEPARTMENTOF COMMERCE

	Applicant l	nitiated In	terview Requ	est Form	
Application No.: 10			Applicant: You		
Examiner: Khanh I	DANG A	rt Unit: 2111	_ Status of Ap	plication: <u>Pen</u>	ding
Tentative Participan (1) John A. Castella			(2) Khanh l	Dang	
(3)			(4)		
Proposed Date of In	terview: 3/22/	 07	posed Time: 2:0	00 (AM□/I	PM⊠)
Type of Interview R (1) ⊠ Telephonic		Personal	(3)	Video Confe	тспсе
Exhibit To Be Show If yes, provide brief		rated: TYES	S⊠ NO		
			e Discussed		
Issues (Rej., Obj., ctc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) 112,¶1	Fig. 6 [0030]		П		
(2) $\frac{101}{101}$	25, 33				
. (3) 102(b)	1-10, 13-33	Kenny			
(4)					
Continuation Sh	eet Attached				
Brief Description of	Arguments to	be Presented	:		
1. Figure 6 and par				NT2 being sen	t at the same tim
2. Claims 19 and 20					
3. Kenny does not					
An interview was co	onducted on th	ie above-ident	ified application	on	
NOTE:					
This form should be		y applicant an	d submitted to tl	ne examiner in	advance of the
interview (scc MRE			L	42a <b>G</b> _51 4	a make maje a mandeda
This application wi	u not be delay	ca Irom Issue 'e. applicant is	occause of applic advised to file a	statement of t	o submit a writte he substance of t
interview (37 CER				Ominment VI (	
111	- <b></b>				

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the UNITO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gardering preparing, and submitting the completed application form to the UNITO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patcut and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FERS OR COMPLETED FORMS TO THISADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

2 ... × 🔌 ..

## HARNESS, DICKEY & PIERCE, P.L.C.

Attorneys and Counsclors P.O. Box 8910 Reston, Virginia 20195 Phone: 703-668-8000 Fax: 703-668-8200

Troy, MI . St. Louis, MO

DATE:	March 20, 2007	NO. OF PAGES (INCLUDING THIS PAGE):	2
FOR:	Examiner Khanh Dang		ORIGINAL WILL FOLLOW BY:  REGULAR MAIL OVERNIGHT MAIL
FAX No.:	USPTO 571-273-3626	PHONE: 571-272-3626	COURIER  WILL NOT FOLLOW
FROM:	John A. Castellano	·	
	Please let us know by p	hone or fax if you do not receive any of these p	ages.
COMMENTS:			······································
Filed: Decer Applicant: Y	Application No. 10/737,124 mber 17, 2003 foung-Dong KIM et al. s. 8947-000074/US		

### \* \* \* NOTICE \* \* \* \*

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